

THE COMMISSIONER OF PATENTS AND TRADEMARKS  
WASHINGTON, D.C. 20231

07/24/01  
J1040 U.S. PRO

Transmitted herewith for filing is the Utility patent application of:

|           |  |
|-----------|--|
| INVENTOR: | Kan YASUI, Souichi KATAGIRI, Masayuki NAGASAWA, Ui YAMAGUCHI and Yoshio KAWAMURA |
| FOR:      | PLANARIZING METHOD OF SEMICONDUCTOR<br>WAFER AND APPARATUS THEREOF               |

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09/910904  
07/24/01

Enclosed are:

- ☒ 10 sheets of ☐ formal ☐ informal drawings.
- ☒ A Notice of Priority to claim priority date of July 27, 2000.
- ☒ Assignment of the invention to Hitachi, Ltd.
- ☒ Information Disclosure Statement with Form 1449.
- ☐ Applicant requests non-publication.
- ☐ Applicant is a small entity.
- ☒ An Executed Declaration

☒ The filing fee is calculated as shown below:

| FOR  | NUMBER FILED |     | NUMBER EXTRA | RATE           | CALCULATIONS |
|--|--------------|-----|--------------|----------------|--------------|
| TOTAL CLAIMS   | 22           | -20 | 2            | x\$18 =        | 36.00        |
| INDEPENDENT CLAIMS   | 5            | -3  | 2            | x\$80 =        | 160.00       |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable)  |              |     |              | +\$260 =       | \$0.00       |
|  |              |     |              | BASIC FEE      | \$710.00     |
|  |              |     |              | TOTAL OF ABOVE | 906.00       |
| REDUCTION BY 1/2 FOR FILING BY SMALL ENTITY (note 37 C.F.R. §§ 1.9, 1.27, 1.28).<br>IF APPLICABLE, VERIFIED STATEMENT MUST BE ATTACHED |              |     |              |                |              |
| TOTAL  |              |     |              |                | 906.00       |

- ☐ Please charge my **Deposit Account Number** \_\_\_\_\_ in the amount of \_\_\_\_\_ to cover the filing and assignment recordation fees. A duplicate copy of this paper is enclosed.
- ☒ A check in the amount of **\$946.00** to cover the filing fees and assignment fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees associated with this communication, including patent application filing fees and processing fees under 37 C.F.R. § 1.16 and 1.17, or credit any overpayment to **Deposit Account Number 08-1480**.

Respectfully submitted,

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July 24, 2001

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